

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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ROTHSCHILDS CONTINUATION :  
HOLDINGS AG, ROTHSCHILD NORTH :  
AMERICA INC., ROTHSCHILD INC., and :  
ROTHSCHILD ASSET MANAGEMENT :  
INC., :  
:

Plaintiffs, :

vs. :

JED P. KAPLAN, KAPLAN & CO. :  
SECURITIES LLC, and LF ROTHSCHILD, :  
LLC, :  
:

Defendants. :  
:  
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1:07- cv- 06261 (AKH)

ECF Case

**NOTICE OF APPEARANCE**

PLEASE TAKE NOTICE that Ira Lee Sorkin and Jeffery Becherer hereby appear in this  
action on behalf of Defendants JED P. KAPLAN, KAPLAN & CO. SECURITIES LLC, and LF  
ROTHSCHILD, LLC,.

Respectfully submitted,  
DICKSTEIN SHAPIRO LLP

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